

**INVESTIGATION ON SPECTRAL EFFICIENCY AND LATENCY  
OPTIMIZATION SCHEME FOR CLOUD-BASED RADIO ACCESS  
NETWORK**

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**Investigation on Spectral Efficiency and Latency Optimization Scheme for Cloud-Based Radio Access Networks”**

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**2019**

## DECLARATION

I declare that this thesis entitled “Investigation on Spectral Efficiency and Latency Optimization Scheme for Cloud-Based Radio Access Networks” is the result of my own research except as cited in the references. The thesis has not been accepted for any degree and is not concurrently submitted in candidature of any other degree.

Signature :

Name :

Date :

## **APPROVAL**

I hereby declare that I have checked this report entitled “Investigation on Spectral Efficiency and Latency Optimization Scheme for Cloud-Based Radio Access Networks” and in my opinion, this thesis it complies the partial fulfillment for awarding the award of the degree of Bachelor of Mechatronics Engineering with Honours

Signature :

Supervisor Name :

Date :

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## **DEDICATIONS**

To my beloved mother and father

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## ABSTRACT

With the adaption of fifth generation (5G) wireless network system in to Industry 4.0, the data transfer efficiency and speed will show a significantly improvement manner by providing a real-time information and solving capacity problem. Cloud-Based Radio Access Network (C-RAN) represents a key technology for 5G wireless network system, where the information processing is centralized to a “cloud” or central unit instead of several base stations. C-RAN is able to increase the spectral efficiency and lower the transmission latency compare to 4G wireless network system. Moreover, the used of C-RAN in future can decrease the capital, operational and maintenance expenses of mobile operator. This study aims to investigate and validate the joint of spectral efficiency and latency optimization in term of power consumption by using quantization process of uplink signal at the remote radio head (RRH) of the C-RAN network. The quantization process is used to convert the received radio frequency signal from user equipment into in-phase and quadrature baseband sample. We proposed a simulation environment-based scheme to compute the uplink power and the number of quantisation bits in a way that the performance of spectral efficiency and latency of the RRHs are simultaneously optimised. Simulation results presented the trade-off ratio between spectral efficiency and latency improvements in term of power consumption.

## ABSTRAK

Dengan penyesuaian sistem rangkaian tanpa wayar yang generasi kelima (5G) ke Industri 4.0, kecekapan dan kelajuan peminda data akan meningkat dengan care yang menakjubkan yang menyediakan maklumat masa nyata dan masalah kapasiti penyelesaian. Rangkaian Akses Radio Bersaskan-Awam (C-RAN) mewakili suatu teknologi yang penting terhadap sistem rangkaian tanpa wayar 5G, hal ini demikian kerana proses maklumat pemprosesan telah dikumpulkan ke satu “awam” atau unit pusat yang menggantikan beberapa stesen pangkalan. C-RAN dapat meningkatkan kecekapan spektrum dan merendahkan penghantaran latensi berbanding dengan sistem rangkaian tanpa wayar yang generasi keempat (4G). Selain itu, dengan penggunaan C-RAN pada masa akan datang dapat mengurangkan perbelanjaan modal, operasional dan penyelenggaraan pengendali mudah alih. Kajian ini bertujuan untuk menyiasat dan mengesahkan gabungan kecekapan spektrum dan pengoptimuman latensi dari segi penggunaan kuasa dengan menggunakan proses kuantisasi isyarat uplink di rangkaian radio jauh (RRH) rangkaian C-RAN. Proses kuantisasi digunakan untuk menukarkan isyarat frekuensi radio yang diterima daripada peralatan pengguna yang diubah menjadi fasa dalam-fasa dan kuadratur baseband. Kami mencadangkan skim berasaskan persekitaran simulasi untuk mengira kuasa uplink dan bilangan bit kuantisasi dengan cara prestasi kecekapan spektral dan latensi RRHs dioptimumkan secara serentak. Hasil simulasi menunjukkan nisbah perdagangan antara kecekapan spektral dan peningkatan latensi dari segi penggunaan kuasa.



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# CHAPTER 1

## INTRODUCTION

### 1.1 Introduction

In the era of mobile internet, mobile operators are facing pressure on increasing capital expenditures and operating expenses with much less growth of income. Global network user was explosive with no sign of retardation and it is expected to keep its rapid seed of growing. Wireless network statistics reveal that 976.4% growth from year 2000 to 2017 and 51.7% among the population of the world using the wireless network [1]. Fourth generation (4G) wireless network is not able to meet this explosive growth in traffic demand. Therefore, this has giving birth to fifth generation (5G) mobile networks to support a wide range of innovative new services across different industries in the fast growing technology world.

Group Special Mobile Association (GSMA) is cooperate with its members, Huawei, and its university toward the vital shaping of 5G wireless network and they have blended the different research by industries and academia, eight major requirements of coming 5G wireless network. Firstly, 10 times increase data rates in real networks from the traditional LTE network, 100 times reduction latency, higher bandwidth, enormous number of connected devices, perceived 99%, complete coverage irrespective of users' location, higher battery life, and increase the energy of efficiency [2, 3]. Cloud-Based Radio Access Network (C-RAN) is expected to be a candidate of next generation access network techniques that can meet operators' expectation.

## 1.2 Motivation

Cloud-based Radio Access Network (C-RAN) is the groundwork for the “5G” networks of the future. C-RAN is a new architecture to centralize the base stations (BS) and provide a cooperative solution between multiple operators [4]. This technology comes with many advantages such as minimal cost, high energy efficiency, and centralized network architecture that are irresistible to everyone. It bring a big improvement to the next-generation wireless communication systems.

Meanwhile, the quantization process in C-RAN has to be performed after the discrete signal was sampling wherein the signal amplitudes are rounded off to a nearest value to obtain discrete amplitudes. The quantization process able process the signal in low complexity computation by converts the time-varying signal into a discrete-time signal.

In this study, we focused on survey different aspects of C-RAN innovation in term of simulation of uplink spectral efficiency and with the latency optimization as the objective in term of power consumption. Meanwhile, the optimization of the C-RAN scheme will be simulate based on quantization process. This study can be seen as an analysis of survey papers [9].

### 1.3 Problem Statement

According to the research [5], [6], [7] and [8] has been listed in the literature that tries to document C-RAN architecture in terms of components, structure, advantages, virtualization technologies, resource allocation, scheduling, and platform implementation to solve its current challenges and have deployed platform that can be used by industrial companies.

In [5] and [6] where the variable is energy efficiency optimization of uplink power at user equipment by formulate the joint optimization of the transmit pre-coding matrices of the mobile user and the CPU cycles/s assigned by the cloud to each mobile user is proposed, the number of quantization bits for each RRH is fixed, eliminating the potential reduction in transmission latencies.

Similarly, in [7] and [8] only uplink spectral efficiency is used as the optimization objective with the maximum allowable transmission latency as the constraint and power user equipment as the optimization variable. However, it neglects the negative impact of lowering latency to the received SINR and spectral efficiency and user equipment.

Also, the calculation of resource optimization are all done in a series connection where RRHs take turns in optimizing their resources, leading to a high computational complexity. In parallel connection of RRHs, the optimization calculation will be optimizing their received resources at the same time and more adequate to the computation in real situation.

The relevant papers do not include both uplink spectral efficiency and latency as the objective. This study aims to survey and discuss the spectral efficiency joint with latency optimization scheme of C-RANs in term of power consumption by quantize the received radio signal from user equipment to RRHs.



## **1.4 Objective**

Two objectives that are required to be achieved during this project:

1. To investigate and formulate the joint optimization of spectral efficiency and latency subject to energy consumption for C-RANs system.
2. To validate the joint optimization of spectral efficiency and latency scheme in C-RANs system based on quantization process.

## **1.5 Scope and Limitation**

There are some scope and limitation in this simulation project:

1. The spectral efficiency and latency optimization of C-RAN is simulated by using MATLAB.
2. The spectral efficiency and latency that simulated in this project was based on the uplink transmission baseband signal.
3. There are 5, 7, 9 units of remote radio head (RRH) was used in this project and at fixed location.
4. The number of user equipment (UE) was used in this project was same as number of RRHs used and distributed randomly in a target area.
5. The project is simulated according in an urban area.

## **1.6 Organization of Report**

Chapter 1 is the introduction, motivation and objectives of the project. The introduction is to give a basic image of the 5G and Cloud-Based Radio Access Network and their importance. Thus motivation is based on current problem statement that needs to be solved.

Chapter 2 is literature review which described the basic knowledge needed in this project. Comparing between previous works and products which are related to the project. Identifying the requirement of the C-RAN in this projects from the literature review.

Chapter 3, methodology gives guidelines of the overall investigation of the C-RAN in term of software stimulation. Method of the experiments that's should be conducted to validate the spectral efficiency and latency of C-RANs.

## **1.7 Summary**

In conclusion, the introduction has provided the trend, importance and basic image of 5G and C-RAN. The objectives and scope that are stated based on problem statement are defined. The project is briefly explained.

## CHAPTER 2

### LITERATURE REVIEW

#### 2.1 Introduction

In this chapter, the background of the project will be explained briefly for better understanding of the research. A review of previous related works will be discussed to obtain some useful information by synthesizing their work to make this research successful.

#### 2.2 Spectral Efficiency

The term Spectral efficiency is used to describe the rate of information being transmitted over a given spectrum or bandwidth in specific communication systems from transmitter to receiver [10]. Spectral Efficiency is usually expressed as bits/s/Hz, which is “bits per second per hertz”. Common definition is the net data rate in bits per second (bps) divided by the bandwidth in hertz [11].

#### 2.3 Latency

Latency is the time of central unit (CU) takes for a message, or a signal, to travel from its remote radio heads (RRHs) [12]. It is depend on the physical distance that data must travel through cords, networks and other transmission channel to reach its destination [13]. It also described as delay. Latency optimization is the process to reduce the time of data or signal latency in signal transmitting process. Currently, 4G round trip latencies are about of 10ms [2], that is based on the 1ms sub a frame time with necessary overheads for resource allocation and access. Moreover, the 5G will able to provide round trip latency with 1ms, which is faster than 4G. In this study, the latency was the number of quantization bits used by the RRH for transmission.

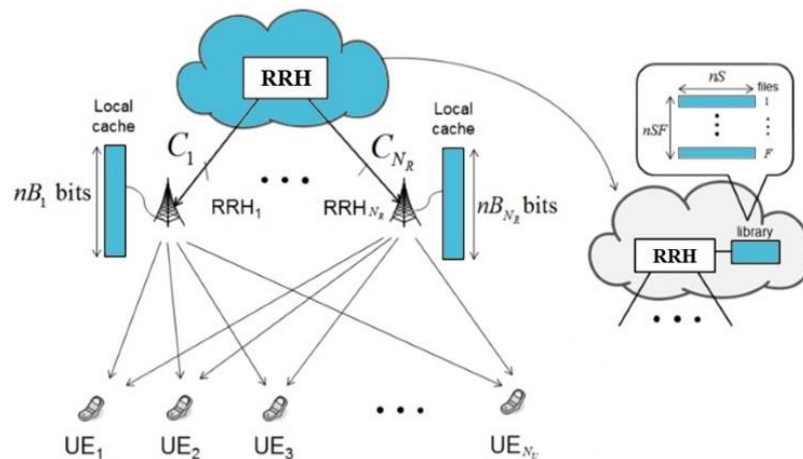
## 2.4 Cloud-Based Radio Access Networks

Cloud-Based Radio Access Networks (C-RAN) refers to the virtualization of base station functionalities by means of cloud computing [30] for next-generation wireless communication systems. C-RAN also emerged as a promising solution to improve wireless network capacity [29].

C-RANs provide a promising architecture that is based on the separation of distributed remote radio head (RRH) with base station (BS) and centralized information processing nodes [15, 16]. The resource allocation and computation signal processing for a BS is moved to a central unit (CU).

The task of the BS, referred to as a remote radio head (RRH) [30], is then to down convert the received radio frequency signal from user equipment (UE) into in phase and quadrature baseband samples.

It has been proposed as a cost-efficient way of deploying small cells [14], the proposed architecture can realize high-speed multiservice data transmission in a simplified and flexible way [17] and reduce the capital and operating cost of deployment and maintenance [30]. This make C-RAN well positioned to be one of the key technologies in the development of 5G systems. Figure 2.1 shows the schematic diagram of C-RAN.:



**Figure 2.1 Schematic Diagram of C-RAN**

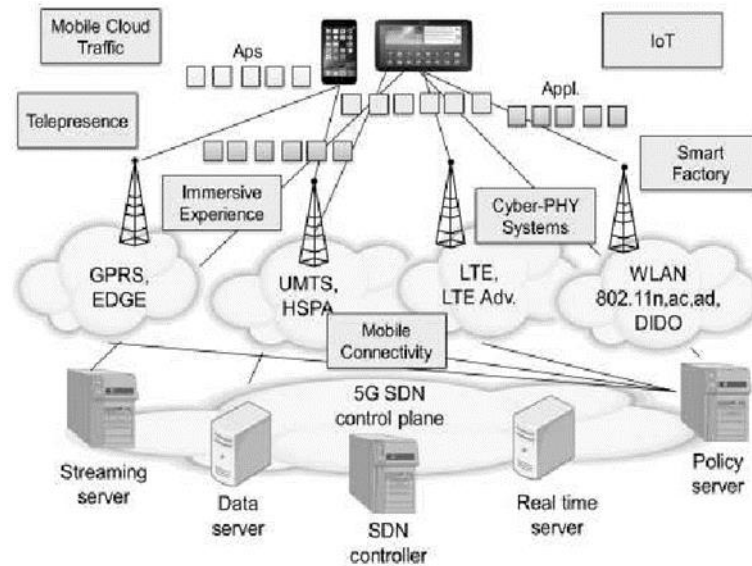
Where BBU represent the baseband processing unit that can perform joint baseband processing on behalf of the remote radio heads (RRHs), UE is user equipment. Then C is denotes Common Public Radio Interface (CPRI) [33].

## 2.5 Fifth Generation (5G) Wireless Network System

The combination effect of emerging mm-wave spectrum access, hyper-connected vision and new application specific requirements is started to trigger the next evolution in wireless network system – the 5G [19 – 21]. Figure 2.2 shows that the wireless network envision greatness of increase in wireless data rates, bandwidth, coverage and connectivity, with a great reduction in round trip latency and power consumption.

GSMA (Group Special Mobile Association) is working with allies towards the ultimate shaping of 5G wireless network system. Blending the different research advantages by industries and academia, eight major requirements [18, 22 and 23] as follow:

1. Higher data rates in real networks.
2. Reduction latency.
3. Higher bandwidth in unit area.
4. Enormous capacity of connected devices.
5. High perceived availability.
6. Coverage for anytime and anywhere.
7. Increase battery life for smart devices.
8. Reduction in power consumption.



**Figure 2.2 Schematic Diagram of 5G Wireless Network System**

## 2.6 Data Rate

Data rates in wireless network system is unquestionably the main driver in 5G network, since the global network users always expected for high speed timing in future. Data rate can be measured in three ways which are service assurance and application performance management, AP/controller and network management systems [2, 32]. Firstly, aggregate data rate is refer to the total amount of data the network can be served, characterized in bit/second per unit area. The general improving is that this quantity or data rates will need to increase by roughly 100 times from 4G to 5G. Secondly, edge rate or 5% rate is the worst data rate that a user can be reasonably expect to receive when in a range of the network. For the 5G edge rate from 1 Mbps to 100 Mbps. Meeting 100 Mbps for 95% of user will be extraordinary challenging, even with major technological improvement. This requires about 100 times advance since current 4G system gave a typical 5% rate of 1 Mbps [2]. Lastly, peak rate also one of the goal target in 5G network. Peak rate is the best case data rate can be reach by a user under any conceivable network configuration. For 5G, it is likely to be in the range of 10 Gbps peak data rate [2].

## **2.7 Bandwidth**

Bandwidth is indicated as the size of data that can be transmitted in a fixed amount of time. For digital devices, the bandwidth is usually expressed in bits per second (bps) or bytes per second. For analogue devices, the bandwidth is expressed in cycles per second, or Hertz (Hz) [18]. 5G with higher bandwidth will be able to connect with larger number of devices for longer duration in specific area.

## **2.8 Base Stations**

Base stations (BS) are usually envisioned as big high-power tower or cell sites. The most important characteristics of BS are: it must be able to initiate and provide accommodations impulsive request for communication channels with mobile users in its coverage area. Secondly, it has to give a dependable backhaul connection to the core network. Thirdly, BSs need to have a supportable power source. Commonly, this is a traditional wired power connection system, but it could in principle be workable with the renewable solar energy, wind-powered, or fossil fuel generated [24]. There are 3 types of BS was chosen to use in this simulation which are Pico-cell, Micro-cell and Macro-cell.

### **2.8.1 Pico-cell Network**

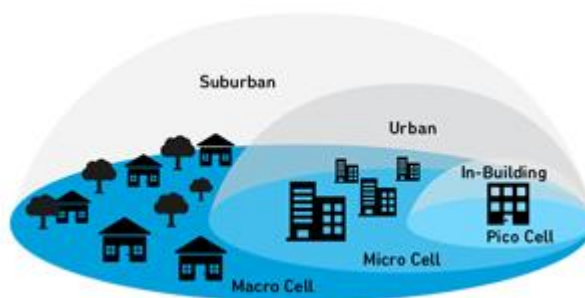
Pico-cells offer a capacities and coverage areas to supporting up to 100 users over a radius of less than 200m. Pico-cells are commonly installed in indoors to increase poor wireless and cellular coverage within a building, like office floor or retail space. Power output of Pico-cell is between 0.25W to 1.0W. It is almost 40 times less power consuming than macro-cells [37].

### 2.8.2 Micro-cell Network

Micro-cells are difficult exactly distinguish from Pico-cells, but their coverage area is the principle delineator. Microcells can coverage the radius less than a kilometre and uses power control to limit this radius. Micro-cells can be install temporarily in anticipation of high-traffic in a specific area, such as a sporting event, but are also installed as a lasting feature of mobile cellular networks. Power output of Micro-cell is between 1W to 10W [37].

### 2.8.3 Macro-cell Network

Macro-cell different from Micro-cell by providing a larger coverage area and high-efficiency output. The service coverage radius of a Macro-cell usually between 8 km to 30 km. Macro-cell must be properly mounted on ground-based masts or other existing structures and at heights for an unhindered, clear view of the surroundings. Power output of Macro-cell is between 10W to 50W [37]. Figure 2.3 shows the different coverage areas between Pico-cell, Micro-cell and Macro-cell. And the Table 2.1 show the summarized the property of different base station as presented in [37]. In this thesis, we consider the Pico-cell as the simulation network, with a minimum cell radius coverage.



**Figure 2.3 Coverage Area of Pico, Micro & Macro cell**