



**UNIVERSITI TEKNIKAL MALAYSIA MELAKA  
FACULTY OF ELECTRICAL ENGINEERING**

## **LAPORAN PROJEK SARJANA MUDA**

**QUANTIFYING SOLAR IRRADIANCE VARIABILITY USING  
SELF-ORGANIZING MAP (SOM) METHOD**

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**A report submitted in partial fulfilment of the requirements for the degree of  
Bachelor of Electrical Engineering (Power Industry)**

**Faculty of Electrical Engineering**

**UNIVERSITY TEKNIKAL MALAYSIA MELAKA**

**2016/2017**

I declare that this report entitle “Quantifying Solar Irradiance Variability using Self-Organizing Map (SOM) Method” is the result of my own research except as cited in the references. The report has not been accepted for any degree and is not concurrently submitted in candidature of any other degree.

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## ABSTRACT

Among the renewable energy generation in Malaysia, the use of solar energy has increased exponentially throughout the years. The Solar PV generation depends on seasonal variation and clouding which causes variability in the output. The current solution is the discharge of electrical energy from battery storage. However, battery storage is expensive and has a life expectancy. The research here focuses on proposing a classification scheme which can be used for forecasting. Solar irradiance data is clustered into groups for further analysis. The scheme involves the use of unsupervised learning, the self-organizing map (SOM) to cluster the variability profile using solar PV system data from FKE, UTeM. The MATLAB software will be used for SOM simulation. The data used is taken from January to December 2016. The data was tested by varying the map size. After running a few map sizes, the map size 35x35 was chosen. It had a quantization error of 0.844 and error percentage of 10.929%. From the 35x35 map size, a total of 186 days were clustered. Out of the 186 days, 104 days were grouped into 6 categories of variability. The 6 categories were clear sky, high value variability, low value variability, morning to noon variability, noon to evening variability, and overcast. The days clustered were compared to manual clusters and the self-organizing map (SOM) was determined to be highly accurate in clustering high variability irradiance values.

## ABSTRAK

Antara penjana tenaga hijau di Malaysia, penggunaan tenaga suria telah meningkat dengan pesat. Generasi PV Solar bergantung kepada variasi bermusim dan pergerakan awan yang menyebabkan perubahan dalam pengeluaran tenaga suria. Penyelesaian semasa adalah dengan penyaluran tenaga elektrik daripada bateri simpanan. Walau bagaimanapun, bateri simpanan mahal dan mempunyai jangka hayat. Penyelidikan projek ini memberi tumpuan kepada cadangan skema klasifikasi yang boleh digunakan untuk ramalan. Data solar dikelompokkan ke dalam kumpulan untuk analisis selanjutnya. Skim itu melibatkan penggunaan pembelajaran tanpa pengawasan, self-organizing map (SOM) untuk mengumpulkan profil kepelbagaian dengan menggunakan data sistem PV suria dari FKE, UTeM. Perisian MATLAB akan digunakan untuk simulasi SOM. Data yang digunakan akan diambil dari Januari hingga Disember 2016. Saiz peta diubah untuk mencari saiz yang paling sesuai untuk data. Selepas beberapa ujian, saiz peta 35x35 dipilih. Saiz peta tersebut mempunyai ralat penguantuman 0.844 dan peratusan ralat 10.929%. Daripada saiz peta 35x35, sebanyak 186 hari dikelompokkan. Daripada 1866 hari tersebut, 104 hari dipilih untuk dikelompokkan dalam 6 kumpulan. Terdapat 6 kumpulan iaitu langit cerah, nilai tinggi kepelbagaian sepanjang hari, nilai rendah kepelbagaian sepanjang hari, kepelbagaian dari pagi hingga tengah hari, kepelbagaian dari tengah hari hingga petang, dan hari mendung. Hari yang dikelompokkan dibandingkan dengan hari yang dikelompokkan secara manual dan self-organizing map (SOM) dibuktikan bahawa dapat mengelompokkan nilai sinaran tinggi dengan tepat.

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## CHAPTER 1

### INTRODUCTION

#### 1.1 Motivation

In year 2015, the Asia-Pacific region produced the highest amount of global PV power (59%) with China in the lead. The PV contributed 1.3% to the world's electricity use. Due to its flexibility and adaptability, PV energy is growing at an extremely fast pace[1]. In 2016, 2051MW of PV was installed, and 316GW of solar capacity was produced. The first half of 2016 recorded more than 1000 installations of solar PV every day. As the use of solar increases, the solar prices are slowly dropping in the range of 2-7%. Compared to 5 years ago (2011), solar prices have dropped by 63% [2]. As solar energy becomes more affordable, the number of installations and generation increases.

However, solar power has its disadvantages in terms of variability as it depends on the weather conditions and does not produce energy at night. In this report, we focus on clustering which can be used in forecasting. Clustering has been known as an effective means of grouping objects together based on the similarities of the objects. Many other applications such as data mining, web mining, and voice mining use clustering techniques for further forecast and analysis [3]. Clustering of the solar irradiance can aid in determining the pattern of the solar variability, and know the amount of days with certain characteristics for mitigation strategies. This will allow the use of solar energy to be more efficient.

## 1.2 Problem Statement

When compared to other generation sources, solar PV has the fastest startup time. It only takes seconds to startup. However, the ramp rate is also in seconds which indicates high fluctuations frequency [4]. The current solution for this phenomenon is the use of battery storage which will discharge stored electrical energy when the power generation is low. The battery used is expensive and needs to be changed once it reaches its life expectancy. An alternative solution which is cheaper and long lasting should be used to compensate the PV system's ramp rate. The solar irradiance is clustered to determine the pattern and the variability of the solar PV for mitigation strategies. There have been a few methods used such as Model Tree, Cloud Shadow Model, Artificial Neural Network, and etc. No method is established to characterize irradiance as a large data is involved in the characterization process.

## 1.3 Objectives

1. To use self-organizing map to cluster the variability profile using solar PV system data from FKE, UTeM.
2. To propose a classification scheme from the SOM clustering.
3. To group the clusters into groups of days with different variability.

## 1.4 Scope

This project uses MATLAB software to produce a self-organizing map (SOM) which is an unsupervised learning method to cluster the solar irradiance reading. The data used in this project is obtained from the Photovoltaic and Smart Grid Lab, FKE, UTeM. The data consist of solar irradiance and PV power output from one selected inverter. The duration data is from January to December 2016.



## **1.5 Outline of the Dissertation**

Chapter 1 introduces the project overall and the goals to be achieved as well as limitations of the research work. Chapter 2 shows the previous research done on solar clustering and description of the self-organizing map (SOM). Chapter 3 describes the method and steps of the self-organizing map (SOM). Chapter 4 describes the findings and comparison of the self-organizing map (SOM) clusters. Chapter 5 concludes the findings and describes the future research.

## CHAPTER 2

### LITERATURE REVIEW

#### 2.1 Solar Irradiance

Irradiance is a measure of solar power the solar panel receives. The unit is watts per meter squared or  $W/m^2$ . The irradiance received by the panel can vary from  $0 W/m^2$  at night to  $1500W/m^2$  during the day with scattered fluffy clouds. Sunlight travels in a straight line from the sun towards the solar panel while undergoing some atmospheric scattering. Clouds present sometimes reflect extra sunlight towards the solar module, increasing the power produced by the solar system. Irradiance and solar power generated as equally proportional to each other [5]. Due to this factor, the variability of irradiance can determine the variability of solar system output. Based on Yang, Huang, etc, PV output is affected by fluctuate of solar irradiation. For an effective PV output, the solar irradiation has to be forecasted to system reliability and power quality maintenance[6]. Table 2.1 shows the irradiance and solar system power variability. An increase in irradiance directly affects the solar power output.

Table 2.1: Irradiance and solar system power variability [5]

Irradiance	Solar System Power (%)
2000	200
1750	175
1500	150
1250	125
1000	100
750	75
500	50
250	25
100	10
0	0

Based on the output of a 310Wp solar module, the changes are the most drastic when changes were done in the irradiance levels, operating temperatures, shading effects and other correlated factors. However, among all factors, the change in irradiance affected it the most. The change of irradiance from 1000 W/m<sup>2</sup> to 800 W/m<sup>2</sup> reduced Maximum Power Point (MPP) by 19.83% [7]. (MPP) is the highest value on a power curve in regards with voltage and current.

## 2.2 Variability in Solar PV Systems

PV system operators use every method possible such as forecasting, economic dispatch, scheduling, and reserves to have a reliable and satisfactory output with a low cost. If the variability of the PV system is known earlier, the operators have more options to adjust and maintain the system. The flexibility of a PV generation system is in terms of minimum stable generation, ramp rates, and the time for startup and shut down. Studies are being conducted on the integration of PV systems and the grid to characterize variability and reduce fluctuations and cost. Among other methods, the studies prove that the forecast method is the most efficient and reduces time of dispatch schedules for generation and improvement in flexible generation [8]. Forecasting reduces PV plant management cost by improving the management of variability[9] .

The generation of PV power will vary with time as the sun rises and sets from morning till evening. Based on a single-axis tracking PV plant output, 10-13% of changes can be detected for a time interval of 15 minutes due to changes of the sun. Aside from the sun changes, clouds play a primary role in the solar PV output and forecast. Insolation can be defined as solar energy received over time or irradiance integration. A passing cloud can cause solar insolation to exceed 60% of its peak insolation within a few seconds. The time taken to entirely shade a PV system depends on the system size, cloud speed and height. A 100MW capacity system takes minutes instead of seconds for complete shading. The movement of clouds affects the PV systems output in a non-uniform and uncorrelated way. Clouds may shade a solar plant in half or only partially. Therefore, different changes may occur in one plant and between separate plants[10].

### **2.3 Review of Previous Related Work**

Based on [11], wavelet decomposition used with k-clustering improves irradiance forecast of a PV plant. Wavelet functions by breaking the data into the approximation and detailed component which removes the fluctuation from the data to be analysed. Instead of using a single model, historical data is classified into 6 classes and 6 models were simulated with two-layer feed forward network in different conditions. The simulation result had higher accuracy than the single model. However, the limitation in this paper was the data variability causing data with strong variability to be lower than data with weak variability.

Another two papers focuses on Wavelet theory. The first one is by Matthew Lave and Jan Kleissl which use Wavelet Variability Model (WVM) with single irradiance point sensor as the input to simulate a solar PV plant. 4 days with different variability were taken to validate the model. The simulation was tested at a 48MW solar PV plant. The simulated power matched the actual power output with a higher accuracy than the plane of array (POA) point sensor. The simulations also matched the ramp rate (RR) distribution. The simulation proes to be better than POA at short timescales[12] . The second paper by Matthew Lave, Jan Kleissl, and Joshua S. Stein uses the same model but with the additional of spatio-temporal correlations. The research is similar to the first with

additional variability reduction (VR). VR is the ratio of point sensor to PV plant variance. The variability by timescale was accurate when compared with fluctuation power index (fpi). (fpi) is fluctuations of wavelet power content for each timescale. The limitations here are that errors in VR cause errors in fluctuation power index (fpi) on cloudy days or days with long timescale. Cloud movement and GHI sensor location can cause total power output and GHI to be slightly inaccurate in time[13].

According to Clifford W. Hansen, Joshua S. Stein, and A. Ellis, statistical methods can be used to characterize irradiance time series to compare forecast model outputs. Frequency distribution is used to quantify the irradiance that falls within a specific range in that period of time. The distribution of ramps quantifies the change in duration and magnitude for a time period. Lastly, the autocovariance and autocorrelations for time series and ramps in clearness index as quantization distribution and ramp distribution does not correlate the time series values. Piecewise linear function is used to produce a sequence of line segments from the data. This paper suggests the separate simulation of clear sky and cloudy sky models as the bivariate distribution does not have irradiance information of when it changed, therefore similar bivariate distribution might occur for clear day and overcast day[14].

Joshua S. Stein, Matthew J. Reno, and Clifford W. Hansen proposed the idea of using variability index to quantify irradiance and PV output variability. Variability index is the ratio of measured irradiance against time divided by the reference clear sky irradiance. Clear days give a variability index of 1. The higher the variability index, the higher the irradiance variability. Clear or overcast days both have low variability index values. To improve variability index quantization, pair variability index with daily clearness index[15].

The next article focuses on the combination of k- means clustering for classification and Support Vector Machine (SVM) regression for training. The k-means cluster is a vector quantization method and data clustered into 3 clusters according to the daily weather similarity. The SVM regression is a machine learning method and is used for the training of input and output data. The output was separated into two categories: clustered and non-clustered data. Root mean square error (RMSE), mean bias error (MRE), and coefficient of determination (R<sup>2</sup>) are used to determine the errors detected. For both groups, SVM training had the least errors and better prediction than nonlinear

autoregressive (NAR) and artificial neural network (ANN). Despite the accuracy, the data used for this research is meteorological data. For a real case scenario, forecasted meteorological data will be used and will cause the prediction accuracy to drop due to added errors [16].

Patrick Mathiesen, Daran Rife, and Craig Collier proposed the use of Analog Variability (AnVar) forecast for solar irradiance variability. The currently used numerical weather prediction (NWP) is spatially too coarse for variability prediction. An analog downscale method is created to accurately forecast irradiance variability. The analog technique is a pattern matching algorithm. Historical data is compared to current data to produce an irradiance variability forecast. The AnVar forecast was compared to a 2 km Weather Research and Forecasting (WRF) model. Mean bias error (MBE), mean absolute error (MAE), and root mean squared error (RMSE) were computed based on difference between forecast and observed irradiance. AnVar is more accurate than direct WRF forecast. The advantage of AnVar against the WRF model is that it has less forecast bias as it is trained with observation data [17].

Based on [18], a study on statistical analysis of a solar PV plant was done by measuring the output power ramp rates (kW/min & kW/s) and peak daily power output's maximum dip. Two 10MW solar PV plants provide the data. Low, Medium and High variability days were chosen for comparison of the 5MW and 10MW PV plants in the same and different location. Per minute and second ramp rate percentile comparison (99.99%) shows that variability was low for a larger output PV plant and if the plants are in different locations. One whole month's maximum dip magnitude shows that the relationship between installation size and maximum dips is unclear as clouding happens in the entire PV plant regardless of size. According to [19], geographic smoothing is affected by long timescales and an increment in spatial correlation. The effect geographic smoothing has in reducing variability is studied for a large central PV plant and small distributed PV plant. Variable index is used for the measurement of solar variability. The general model quantifies the solar variability and wavelet decomposition quantifies the fluctuations of solar power. From the study, it is shown that large central plant undergoes higher variability. The coherence spectrum shows that the plant sites are less correlated when taken under 5 minutes. However, when the timescale was extended, the correlation

became stronger. This proves that geographic smoothing decreases with the increase in timescale.

According to [20], a cellular computational network (CCN) method can predict solar irradiance for a PV plant. 1, 2, 3, and 4 cells were planted in different positions in a PV plant. CCN has a group of computational units, and each unit has communication with the next or neighbouring units, forming a network. Each cell predicts irradiance of its own location. The cells are able to function as remote virtual sensors. Mean absolute percentage error (MAPE) is used for accuracy measurement. The results show that 3 cells have the highest accuracy. The advantage of using CCN is the ability to predict irradiance from neighbouring location data and ability to function with insufficient input data.

Matthew Lave and Robert Broderick proposed variability metric to quantify variability and compare the variability of 8 locations in the US. This is due to how different distribution feeder has dissimilar climate region. High frequency data was collected and irradiance ramp rates were computed to be plotted against computed cumulative distributions (cdfs). Las Vegas had the least variability, Oahu Island, Mayaguez, and Lanai had the most variable. Two Albuquerque sites had almost identical values. However, high frequency data collection was inconsistent and the data of Integrated Surface Irradiance Study (ISIS) network was used as replacement. The ISIS data has a 3 minutes interval while the project was done for 30 seconds. The data was still used with validation using high frequency data [21].

Zheng Wan, Irena Koprinska and Mashud Rana did an evaluation on clustering methods to group days based on weather characteristics. Prediction was to be done in the range of half-hourly for the next day. The weather data (temperature, solar irradiance) is used to group days with similar weather. The power data trains individual prediction model. Forecasting models are developed with Neural Networks (NN), k-Nearest Neighbor (k-NN) and Support Vector Regression. Most accurate model is clustering based k-NN. Neural Networks (NN) is the most accurate for non-clustering approach. The performance of algorithms depended on the weather. Solar irradiance works best with clustering based approaches. k-NN, NN and SVR, cluster better than Autoregressive Integrated Moving Average (ARIMA) and Exponential Smoothing (ES) [22].

Table 2.2: Review of Previous Studies

No	Author	Method	Result/ Contribution	Advantages/ Limitations
1	Shi Su, Yuting Yan, Hai Lu, Zhao Zhen, Fei Wang, Hui Ren, Kangping Li, Zengqiang Mi.(2016)	Wavelet Decomposition with k-means clustering	6 ANN models are used in different conditions. When compare with single model, higher accuracy.	Magnitude of data variability between large values and lower values caused uneven forecast.
2	Matthew Lave and Jan Kleissl (2012)	Wavelet variability model (WVM) with single irradiance point sensor as input to simulate solar PV plant	The WVM simulation matches actual power rating better than plane of array (POA) point sensor. Simulation matches ramp rate (RR) distribution.	Has the same result as POA at long timescales. Better than POA at short timescales.
3	Matthew Lave, Jan Kleissl, and Joshua S. Stein (2013)	Wavelet variability model (WVM) with single irradiance point sensor to simulate solar PV plant output with variability reduction	The WVM simulation matches the actual power output. Variability by timescale was accurately determined when comparisons of fluctuation power index (fpi) was done.	Input requirements only require a single sensor and input data. Errors in VR can cause errors in the fluctuation power index (fpi) on cloudy days/long timescale. Cloud movement and GHI sensor location can cause total power output and GHI to be slightly inaccurate in time.
4	Cliford W. Hansen, Joshua S. Stein, and Abraham Ellis (2010)	Frequency distribution, the distribution of ramps, and the automatic covariance and correlation for the time and ramps in clearness index.	Suggests clear sky and cloudy models to be simulated separately.	The bivariate distribution does not have irradiance information of when it changed, therefore similar bivariate distribution might occur for clear day and overcast day.